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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Chen, et al.

Serial No.: 10/038,066

Confirmation No.: 5741

Filed:

January 3, 2002

For:

Planarization of Substrates

Using Electrochemical Mechanical Polishing

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Group Art Unit: 1742

Examiner:

Harry D. Wilkins, III

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF MAILING 37 CFR 1.8

I hereby certify that this correspondence is being deposited on 23/10, 2004 with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

03/0/2004 Date

Signature

Dear Sir:

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/AMAT/5699/BKH.

Respectfully submitted,

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Sheet 1 of 3

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Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	03/(0,2004

Examiner Cite Initials* No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
	140.	Number-Kind Code <sup>2 (f known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	A1	US-6,693,036 B1	02/17/2004	Nogami, et al.	
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Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	T <sup>6</sup>	
Initials*	No.¹	Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
	B1	WO 02/88229 A1	11/07/2002	Dow Global Technologies, Inc.			
	B2	WO 02/23616 A1	03/21/2002	ACM Research, Inc.			
	В3						
	B4						
	B5				10		

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